



BIOMARKERS OF EXPOSURE

Selection, Method Development and Validation, and Acceptance

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BIOMARKER DEFINITIONS

- Chemicals, metabolites of chemicals, enzymes, and other biochemical substances found in tissues and body fluids
- Measurements provide data linking **exposure** with internal dose and outcome; relevant to process of risk assessment

Biomarkers and Risk Assessment: Concepts and Principles, World Health Organization, Geneva, 1993

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EXPOSURE DEFINITIONS

- Exposure is the contact over time and space between a person and one or more biological, chemical or physical agents (US NRC, 1991).
- Exposure is concentration of a substance in the human body over time, ($c \times t$).
- Exposure is the area under the concentration-time-curve, (AUC).

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TYPES OF BIOMARKERS

Exposure or Biomarker Assessment	Definition
External Exposure Marker	A tobacco constituent or product that may reach or is at the portal of entry to the body
Biomarker of Exposure	A tobacco constituent or metabolite that is measured in a biological fluid or tissue that has the potential to interact with a biological macromolecule; sometimes considered a measure of internal dose
Biologically Effective Dose (BED)	The amount that a tobacco constituent or metabolite binds to or alters a macromolecule; estimates of the BED might be performed in surrogate tissues
Biomarker of Potential Harm	A measurement of an effect due to exposure; these include early biological effects, alterations in morphology, structure, or function, and clinical symptoms consistent with harm; also includes "preclinical changes"

From Clearing the Smoke: Assessing the Science Base for Tobacco Harm Reduction

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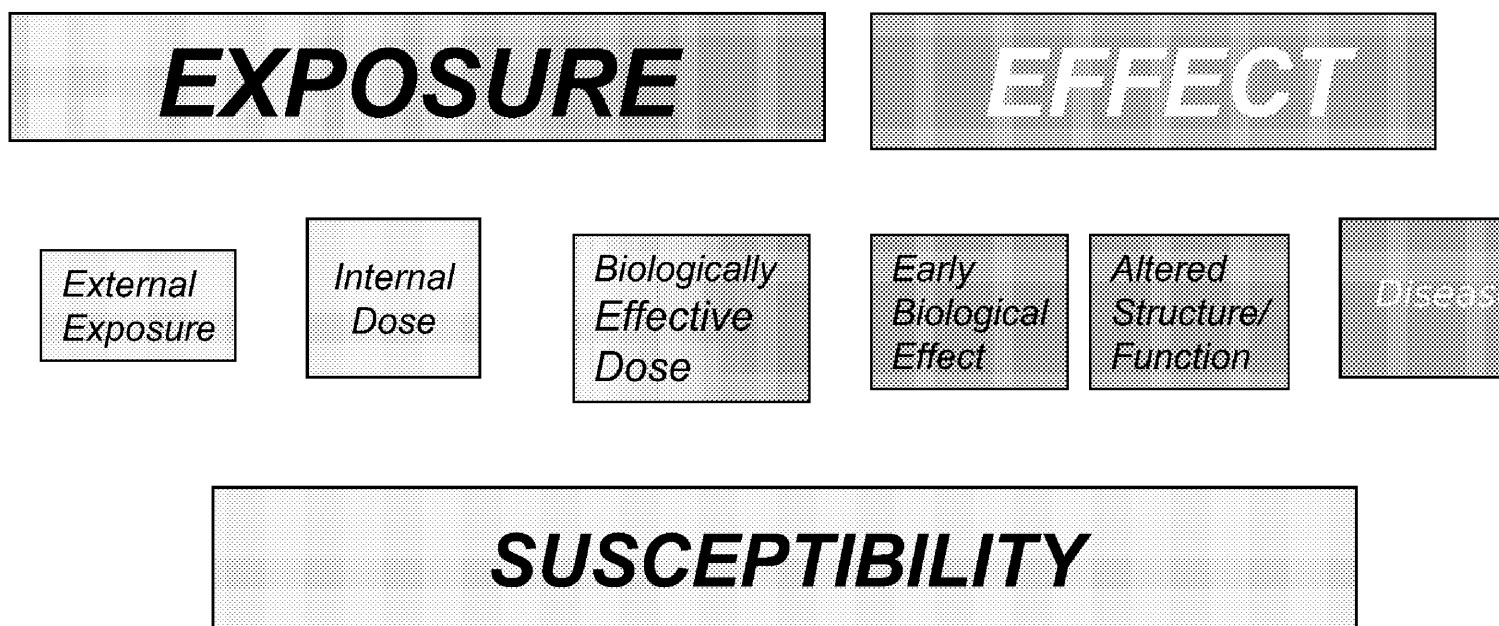
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The Paradigm: Biological Marker Components in Sequential Progression Between Exposure and Disease



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SELECTION OF BIOMARKERS OF EXPOSURE

- Convened panel of PM experts and consultants with significant experience in smoke composition and/or exposure assessment
- Utilized biomarker selection considerations from NRC and N. Benowitz
- Identified candidate smoke constituents
- Chose biomarkers of exposure representative of both smoke phases

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SELECTION CRITERIA FOR TES BIOMARKERS OF EXPOSURE

- "Unique or nearly unique" to tobacco smoke, "so that other sources are minor in comparison"
- Reliable analytical methods available ("easily detectable")

Benowitz, N.L. ~~Environmental Health Perspectives~~, 1999, 107(Suppl. 2), 349 – 355

- Representative of either particulate or gas-vapor phase
- Representative of health-relevant constituents
- Sampling to acquire material for analysis only minimally invasive
- Constituent metabolism understood
- Increased in smokers as compared to non-smokers

BIOMARKERS OF EXPOSURE FOR THE TES

BIOMARKER	SAMPLE MATERIAL	SMOKE CONSTITUENT	SMOKE PHASE*
<i>Acetonitrile</i>	<i>Exhalate; blood</i>	<i>Acetonitrile</i>	<i>GVP</i>
<i>Carbon monoxide</i>	<i>Exhalate</i>	<i>Carbon monoxide</i>	
<i>GVP</i>			
Carboxyhemoglobin	Blood	Carbon monoxide	GVP
Hb adducts of 3- and 4-aminobiphenyl	Blood	3- and 4- Aminobiphenyl	PP
Nicotine and nicotine metabolites**	24-hr urine	Nicotine	PP
NNAL and NNAL-glucuronide	24-hr urine	NNK	PP

*GVP: gas-vapor phase; PP: particulate phase

**cotinine, 3-hydroxycotinine, nicotine-*N*-glucuronide, cotinine-*N*-glucuronide, and *trans*-3'-hydroxycotinine-*O*-glucuronide,

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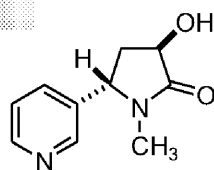
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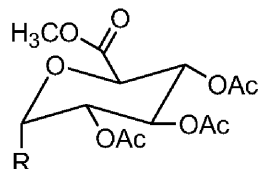
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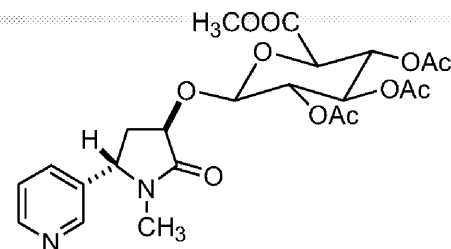
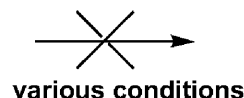
THE QUEST FOR AUTHENTIC REFERENCE STANDARDS



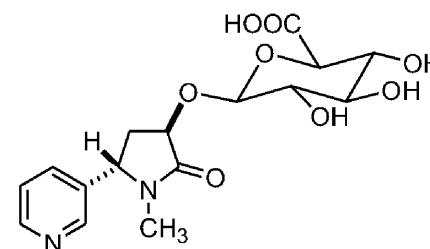
trans-3'-Hydroxycotinine



R = Br, OAc, etc.



Protected Intermediate



trans-3'-Hydroxycotinine-O-Glucuronide

Conditions

- 1) CH₂Cl₂, reflux, 2d
- 2) 1.0 eq NaH, 23°C, 2h
- 3) Ag₂CO₃, 4A M.S., C₆H₆, 23°C, 24h
- 4) Cs₂CO₃, 4A M.S., C₆H₆, 23°C, 24h
- 5) CF₃COOAg, Ag₂CO₃, CH₂Cl₂, 23°C, 24h
- 6) BF₃OEt₂, CH₂Cl₂, reflux, 3d
- 7) 2.0 eq SnCl₄, CH₂Cl₂, 23°C
- 8) 2.0 eq SnCl₄, CH₂Cl₂, reflux
- 9) 2.0 eq TMSOTf, 4A M.S., CH₂Cl₂, reflux
- 10) HgI₂, CH₃CN, 23°C, 24h

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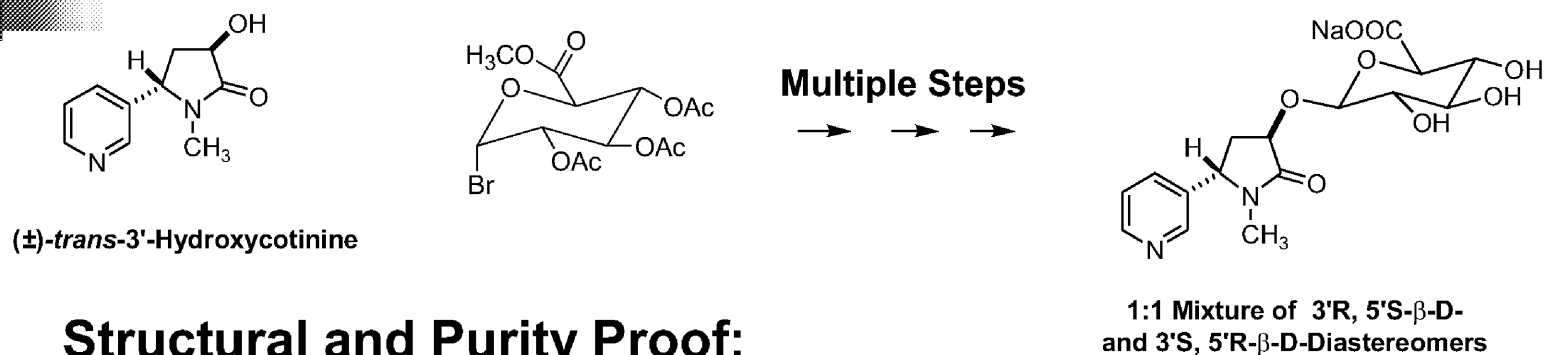
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SUCCESSFUL SYNTHESIS OF *trans*-3'-HYDROXYCOTININE-O-GLUCURONIDE



Structural and Purity Proof:

^1H -NMR

^{13}C -NMR

2D-NMR (COSY, NOESY, and HETCOR)

MS

MS/MS

Karl Fisher

Residual Solvents

HPLC (UV-PDA, Anion and Cation Scan)

TLC

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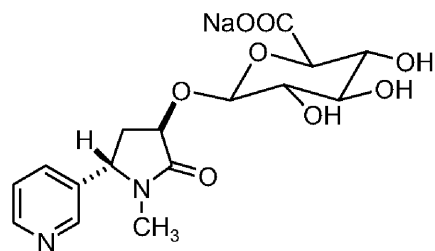
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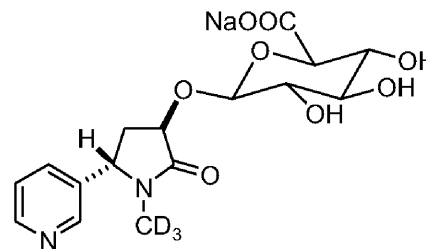
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REGIO-SPECIFIC AND STEREO-SPECIFIC SYNTHESIS OF *trans*-3'- HYDROXYCOTININE-O-GLUCURONIDE



***trans*-3'-Hydroxycotinine-O-Glucuronide**
(Single 3'R, 5'S- β -D-Diastereomer)



[$^2\text{H}_3$]Methyl-Analog

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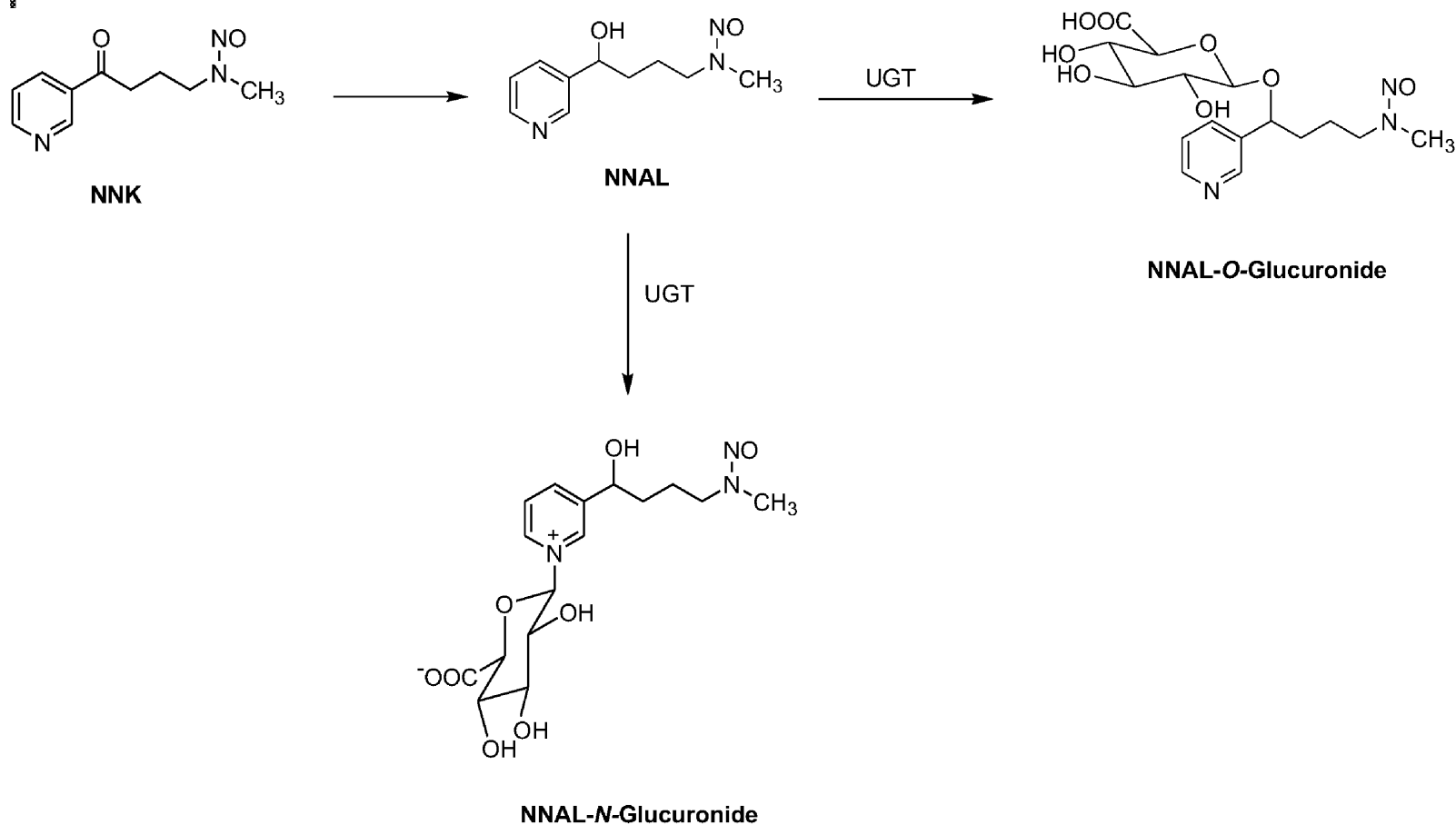
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NNK METABOLISM



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Additional Biomarkers for JLI

- Cotinine in blood
- 3-HPMA (3-hydroxypropylmercapturic acid, an acrolein metabolite)
- *Urine mutagenicity (measured by the Ames Salmonella microsome assay)*

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ANALYTICAL METHODS

- CO-Oximeter: Carboxyhemoglobin
- LC/MS/MS
 - Nicotine and 5 metabolites
 - NNK metabolites
 - 3-HPMA
- *Urinary mutagens: Ames assay*

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ANALYTICAL METHODS

- Nicotine and 5 metabolites
 - Free nicotine, cotinine, and *trans*-3'-hydroxycotinine
 - Acidify 2mL aliquot of a 24-hour urine
 - SPE clean-up
 - LC/MS/MS: Phenomenex 3 μ m Phenyl-Hexyl column at 50°C; mobile phase NH_4OAc /Methanol/ Et_3N (65:35:0.002 v/v/v) at 0.2 mL/min; Sciex 3000 tandem mass spectrometer, multiple ion recording mode.

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ANALYTICAL METHODS

- Nicotine and 5 metabolites
 - Free nicotine, cotinine, and *trans*-3'-hydroxycotinine and glucuronides of each (total nicotine, total cotinine, and total *trans*-3'-hydroxycotinine)
 - Treat a second acidified 2mL aliquot of the 24-hour urine collection with a β -glucuronidase (*Helix pomatia*) for 18-22 hr
 - Proceed as for free alkaloids/metabolites
 - Glucuronides determined by difference

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ANALYTICAL METHODS

- Nicotine and 5 metabolites
 - Free nicotine, cotinine, *trans*-3'-hydroxycotinine, and nicotine-*N*-glucuronide
 - Basify 1mL aliquot of a 24-hour urine
 - SPE clean-up
 - LC/MS/MS: MetaChem Inertsil 5 μ m Silica column; mobile phase acetonitrile/water/trifluoroacetic acid (90:10:0.05 v/v/v); Sciex 3000 tandem mass spectrometer, multiple ion recording mode

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ANALYTICAL METHODS

- Nicotine and 5 metabolites
 - Total cotinine, and total *trans*-3'-hydroxycotinine)
 - Treat a second 0.2mL aliquot of the 24-hour urine collection with a β -glucuronidase (*Helix pomatia*) for at least 20 hr
 - Basify and proceed as for free alkaloids/metabolites
 - Glucuronides determined by difference

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ANALYTICAL METHODS

- NNK metabolites

- Free NNAL
- Acidify 1.0 mL aliquot of 24-h urine
- Clean-up with mixed-mode SPE
- LC/MS/MS
 - Keystone Hypersil silica column with a guard column; mobile phase ACN/H₂O/HCOOH (50:50:1 (v:v:v)); Sciex 3000 MS/MS; multiple ion recording mode

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ANALYTICAL METHODS

- NNK metabolites

- Total NNAL (free NNAL and NNAL glucuronide)
- Acidify 1.0 mL aliquot of 24-h urine, and incubate with β -glucuronidase (type H-1 from *Helix pomatia*)
- Proceed as for free NNAL
- Glucuronides determined by difference

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ANALYTICAL METHODS

■ 3-HPMA

- 0.200 mL sample of acidified urine
- Clean-up with solid phase mixed mode extraction column
- LC/MS/MS
 - Hypersil BioBasic column with a guard column; mobile phase is 80:20 acetonitrile:50 mM ammonium acetate, pH 4.5; Sciex API 4000 MS/MS; multiple ion recording mode

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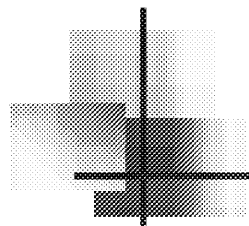
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ANALYTICAL METHODS

- Urine Mutageneticity by the Ames Assay
 - Preincubation method with metabolic activation (5% S9)
 - Urine samples concentrated by 250-fold on XAD-2 resin
 - Diluted with dimethyl sulfoxide (DMSO) to yield different dose levels
 - Incubated at 37°C with S9 and YG1024 (tester strain with a high sensitivity for detecting mutagenicity of aromatic amine compounds in human urine)



Biomarker Selection +

Method Development +

Method Validation +

Sample Analysis →

QUALITY DATA

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VALIDATION

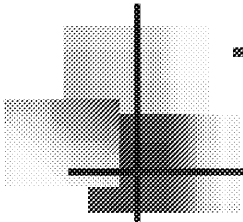
- "Validation and development of biomarkers will provide a stronger foundation by which to make scientific evaluations and regulatory decisions regarding PREPs."

(Clearing the Smoke Assessing the Science Base for Tobacco Harm Reduction, Advance Copy, Executive Summary, p. 9, 2001.)

- "All of the procedures that demonstrate that a particular method used for quantitative measurement of analytes. . . is reliable and reproducible for the intended use."

(FDA Guidance for Industry Bioanalytical Method Validation, May 2000)

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Two Types of Validation

- Analytical validation
 - More commonly discussed
 - More extensively defined
- Biological validation
 - Less guidance available
 - Opportunity for leadership in scientific community

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Components of Analytical Validation

- Measures of system suitability and ruggedness
- Statement of data collection and processing instrumentation to be utilized
- Outline of necessary calculations
- Standard curve
- Accuracy
- Precision

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Components of Analytical Validation

- Sensitivity Specificity/selectivity
- Stability
- Pre-defined acceptance criteria
- Assessment of carryover and recovery
- Appropriate reporting

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FDA GUIDANCE ON VALIDATION

- Precision: $<15\%$; $<20\%$ for LLOQ
- Accuracy: $\pm 15\%$; $\pm 20\%$ for LLOQ
- Standard curve: 75%, or a minimum of six standards, when back-calculated (including ULOQ) should fall within $\pm 15\%$, except for LLOQ, when it should be $\pm 20\%$ of the nominal value
- Short term stability: includes at least 3 freeze-thaw cycles; tests samples and reagents
- Reproducible recovery

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ANALYTICAL VALIDATION

■ Carboxyhemoglobin

Performance Parameter	Carboxyhemoglobin
Limit of Quantification	0.3%
Linear Range	0.3% -- 64.7%
Between-Run Precision (% CV)	
Low QC	4.7%
Mid QC	0.7%
Hi QC	0.8%
Between-Run Accuracy (% Error)	
Low QC	-0.6
Mid QC	-0.9
Hi QC	+0.1
Vhi QC	-0.4

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ANALYTICAL VALIDATION

■ Nicotine, Cotinine, and *trans*-3'-Hydroxycotinine

	Nicotine	Cotinine	<i>trans</i> -3'-Hydroxy cotinine
Limit of Quantification	1.00 ng/mL (6.17 nmol/L)	1.00 ng/mL (5.64 nmol/L)	1.00 ng/mL (5.18 nmol/L)
Linear Range	1 – 1000 ng/mL	1 – 1000 ng/mL	1 – 1000 ng/mL
Between-Batch Precision (%RSD)			
Low QC (2ng/mL)	10.8 (n=18)	10.9 (n=18)	11.7 (n=18)
Med QC (400 ng/mL)	5.5 (n=18)	4.6 (n=18)	5.8 (n=18)
High QC (750 ng/mL)	3.3 (n=18)	2.3 (n=18)	2.7 (n=18)
Between-Batch Accuracy (%DMT)			
Low QC	1.3 (n=18)	3.5 (n=18)	6.5 (n=18)
Med QC	-9.7 (n=18)	-7.6 (n=18)	-7.4 (n=18)
High QC	-11.2 (n=18)	-10.2 (n=18)	-10.2 (n=18)

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ANALYTICAL VALIDATION

- Nicotine, Cotinine, *trans*-3'-Hydroxycotinine, and Nicotine Glucuronide

	Nicotine	Cotinine	<i>trans</i> -3'-Hydroxy cotinine	Nicotine- <i>N</i> -glucuronide
Limit of Quantification	10 ng/mL	10 ng/mL	50 ng/mL	5 ng/mL
Linear Range	10 – 2000 ng/mL	10 – 2000 ng/mL	50 – 2000 ng/mL	5 – 2000 ng/mL
Between-Batch Precision (%RSD)				
Low QC (30ng/mL)	6.7 (n=36)	9.1 (n=36)	7.0 (n=36)	6.7 (n=35)
Med QC (300 ng/mL)	2.6 (n=36)	4.3 (n=36)	6.5 (n=36)	6.3 (n=36)
High QC (1500 ng/mL)	4.2 (n=36)	2.9 (n=36)	4.9 (n=36)	3.9 (n=36)
Between-Batch Accuracy (%DMT)				
Low QC	+2.7 (n=36)	+2.7 (n=36)	+2.0 (n=36)	-0.7 (n=36)
Med QC	+9.0 (n=36)	+0.7 (n=36)	-1.3 (n=36)	+0.3 (n=36)
High QC	-2.5 (n=36)	-0.1 (n=36)	+1.9 (n=36)	+0.4 (n=36)

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ANALYTICAL VALIDATION

- Nicotine, Cotinine, and *trans*-3'-Hydroxycotinine*
(Total Nicotine + Metabolites)

	Nicotine	Cotinine
Limit of Quantification	1.00 ng/mL (6.17 nmol/L)	1.00 ng/mL (5.64 nmol/L)
Linear Range	1 – 1000 ng/mL	1 – 1000 ng/mL
Between-Batch Precision (%RSD)		
Low QC (2ng/mL)	12.7	14.7
Med QC (400 ng/mL)	5.1	4.4
High QC (750 ng/mL)	4.4	3.5
Between-Batch Accuracy (%DMT)		
Low QC (2ng/mL)	-8.0	-3.5
Med QC (400 ng/mL)	-13.0	-7.0
High QC (750 ng/mL)	-11.9	-7.8

*No data for *trans*-3'-Hydroxycotinine due to unavailability of standard

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ANALYTICAL VALIDATION

•Cotinine and *trans*-3'-Hydroxycotinine* (Total Nicotine Metabolites)

	Total Cotinine		Total <i>trans</i> -3'-Hydroxycotinine
Limit of Quantification	100 ng/mL		100 ng/mL
Linear Range	100 – 4000 ng/mL		100 – 6002 ng/mL
Between-Batch Precision (%RSD)		Between-Batch Precision (%RSD)	
Low QC (300ng/mL)	4.5 (n=30)	Low QC (300ng/mL)	6.5 (n=30)
Med QC (750 ng/mL)	4.8 (n=30)	Med QC (750 ng/mL)	8.0 (n=30)
High QC (2080 ng/mL)	6.7 (n=30)	High QC (3486 ng/mL)	9.0 (n=30)
Uhigh QC (3000 ng/mL)	3.2 (n=30)	Uhigh QC (4501 ng/mL)	5.6 (n=30)
Between-Batch Accuracy (%DMT)		Between-Batch Accuracy (%DMT)	
Low QC (300ng/mL)	-5.7 (n=30)	Low QC (300ng/mL)	-2.0 (n=30)
Med QC (750 ng/mL)	-2.7 (n=30)	Med QC (750 ng/mL)	+1.3 (n=30)
High QC (2080 ng/mL)	0.0 (n=30)	High QC (3486 ng/mL)	0.0 (n=30)
UHigh QC (3000 ng/mL)	-3.0 (n=30)	Uhigh QC (4501 ng/mL)	+0.7 (n=30)

**trans*-3'-Hydroxycotinine data for QC = 3486 from incurred sample

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ANALYTICAL VALIDATION

- NNK Metabolite (Free NNAL)

Performance Parameter	NNAL
Lower Limit of Quantitation	50.0 pg/mL
Linear Range	50.0-2000 pg/mL
Between-Run Precision (% CV)	
150 pg/mL (Low QC)	8.2 (n=30)
500 pg/mL (Mid QC)	5.5 (n=30)
1500 pg/mL (Hi QC)	5.6 (n=30)
Between-Run Accuracy (% Error)	
150 pg/mL (Low QC)	4.0 (n=30)
500 pg/mL (Mid QC)	1.4 (n=30)
1500 pg/mL (Hi QC)	4.1 (n=30)

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ANALYTICAL VALIDATION

- NNK metabolites (Total NNAL)

Performance Parameter	NNAL
Lower Limit of Quantitation	50.0 pg/mL
Linear Range	50.0-2000 pg/mL
Between-Run Precision (% CV)	
150 pg/mL (Low QC)	7.0 (n=30)
500 pg/mL (Mid QC)	4.5 (n=30)
773 pg/mL (Incurred QC)	7.5 (n=30)
1500 pg/mL (Hi QC)	5.2 (n=30)
Between-Run Accuracy (% Error)	
150 pg/mL (Low QC)	2.7 (n=30)
500 pg/mL (Mid QC)	4.0 (n=30)
773 pg/mL (Incurred QC)	0.0 (n=30)
1500 pg/mL (Hi QC)	4.8 (n=30)

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ANALYTICAL VALIDATION

•3-HPMA

Performance Parameter	3-HPMA
Lower Limit of Quantitation	35.0 ng/mL
Linear Range	35.0 -- 5000 ng/mL
Between-Run Precision (% CV)	
187 ng/mL (Low QC)	7.5 (n=34)
687 ng/mL (Mid QC)	6.0 (n=34)
3837 ng/mL (High QC))	5.3 (n=34)
Between-Run Accuracy (% Error)	
187 ng/mL (Low QC)	4.3 (n=34)
687 ng/mL (Mid QC)	2.6 (n=34)
3837 ng/mL (Hi QC)	2.1 (n=34)

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WHO RECOMMENDATIONS FOR BIOLOGICAL VALIDATION

- Determination of specificity
- Exposure-related toxicokinetics and toxicodynamics
- Dose-response relationship
- Biological variation associated with the marker
 - Intra-individual
 - Inter-individuals
 - Group differences



WHO RECOMMENDATIONS FOR BIOLOGICAL VALIDATION

- Route of exposure
- Type of health effect
- Behavioral factors influencing exposure
- Generation of baseline or normative data

(Human Exposure Assessment, Environmental Health Criteria 214, World Health Organization: Geneva, 2000.)

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BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS

- Determination of specificity: ***There was a statistically significant difference between carboxyhemoglobin levels in smokers and non-smokers, and the same was observed for nicotine equivalents levels.***
- Dose-response relationship: ***Estimating dose as cigarettes/day***

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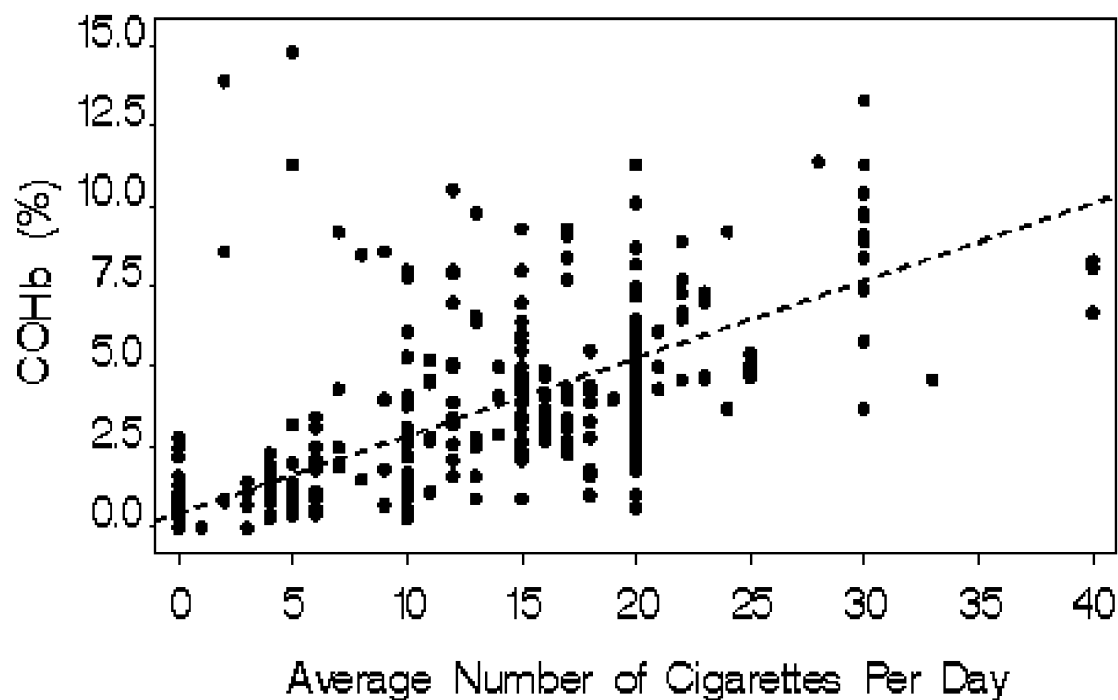
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CARBOXYHEMOGLOBIN DOSE RESPONSE

COHb (%) vs Average Number of CPD
Smokers and Non-Smokers



R. D. Kinser, B. L. Nelson, et al. "Assessment of Human Exposure to Cigarette Smoke Constituents: Pilot Study Results for Carbon Monoxide" Society of Toxicology, March, 2002

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BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS

- Determination of specificity: *There was a statistically significant difference between carboxyhemoglobin levels in smokers and non-smokers, and the same was observed for nicotine equivalents levels.*
- Dose-response relationship: *Using dose as cigarettes/day*
- Biological variation associated with the marker: *Assessed by collecting biological specimens on multiple occasions, at differing times of the day, and on work days and leisure days*



VARIABILITY IN THE PILOT TES

BIOMARKER	INTRA-	INTER-
Nicotine Equivalents	18.4%(S) 229.4% (NS)	64.5% (S) 279.5% (NS)
COHb	25.1% (S) 61.3% (NS)	70.0% (S) 75.0% (NS)

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BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS

- Inter-individual differences (demographics, genetic differences, metabolites, anthropometrics, behavior, biological/circadian rhythms, previous exposure, lifestyle factors, dietary habits): ***Most of these factors will be addressed by a questionnaire.***
- Route of exposure: ***Addressed by a questionnaire***

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BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS

- Toxicokinetics: ***Considered half-life information as a biomarker selection criterion***

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HALF-LIFE DATA FOR TES BIOMARKERS

BIOMARKER	$t_{1/2}$ (elim)
COHb	3.1 h (awake) and 6.9 h (sleeping)
Nicotine	2 h
Cotinine	19 h
<i>trans</i> -3'-OHCot	6.4 h
Nicotine-gluc; Cotinine-gluc	Not available
<i>trans</i> -3'-OHCot-gluc	7.2 h
NNAL	45 days

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BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS

- Toxicokinetics: *Considered half-life information as a biomarker selection criterion*
- Generation of baseline or normative data: ***A U.S. adult smokers population study is underway***

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BIOLOGICAL VALIDATION: JLI BIOMARKERS

■ 3-HPMA

- Literature indicates differentiation between smokers and non-smokers
 - Mascher, D. G. et al., J. Chrom B, **750** (2001) 163 – 169
 - Smokers (n=27) 2809 ± 385 $\mu\text{g}/24$ hr
 - Non-smokers (n=41) 812 ± 123 $\mu\text{g}/24$ hr
- Biomarker of exposure to allylic moiety
 - Acrolein, allylic alcohol

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CHALLENGES

- Authentic standards
- Analyte-free matrix for QC samples
- Alternate methods
- Certified standards (standard reference materials)

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1 ☐ BIOMARKERS OF EXPOSURE

Selection, Method Development and Validation, and Acceptance

Robin Kinser

Senior Principal Scientist

Clinical Evaluation, Worldwide Scientific Affairs

2 ☐ BIOMARKER DEFINITIONS

- Chemicals, metabolites of chemicals, enzymes, and other biochemical substances found in tissues and body fluids
- Measurements provide data linking **exposure** with internal dose and outcome; relevant to process of risk assessment

Biomarkers and Risk Assessment: Concepts and Principles, World Health Organization, Geneva, 1993

3 ☐ EXPOSURE DEFINITIONS

- Exposure is the contact over time and space between a person and one or more biological, chemical or physical agents (US NRC, 1991).
- Exposure is concentration of a substance in the human body over time, (c x t).
- Exposure is the area under the concentration-time-curve, (AUC).

4 ☐ TYPES OF BIOMARKERS

- 5 ☐ The Paradigm: Biological Marker Components in Sequential Progression Between Exposure and Disease

EXPOSURE

6 ☐ SELECTION OF BIOMARKERS OF EXPOSURE

- Convened panel of PM experts and consultants with significant experience in smoke composition and/or exposure assessment
- Utilized biomarker selection considerations from NRC and N. Benowitz
- Identified candidate smoke constituents
- Chose biomarkers of exposure representative of both smoke phases

7 ☐ SELECTION CRITERIA FOR TES BIOMARKERS OF EXPOSURE

- "Unique or nearly unique" to tobacco smoke, "so that other sources are minor in comparison"
- Reliable analytical methods available ("easily detectable")
- Representative of either particulate or gas-vapor phase
- Representative of health-relevant constituents
- Sampling to acquire material for analysis only minimally invasive
- Constituent metabolism understood
- Increased in smokers as compared to non-smokers

Benowitz, N.L. *Journal of the National Cancer Institute*, 1989, 107(Suppl. 2), 349-355

8 ☐ BIOMARKERS OF EXPOSURE FOR THE TES

BIOMARKER SAMPLE MATERIAL SMOKE CONSTITUENT SMOKE PHASE*

Acetonitrile	Exhalate; blood	Acetonitrile	GVP	
Carbon monoxide	Exhalate	Carbon monoxide		GVP
Carboxyhemoglobin	Blood	Carbon monoxide		GVP
Hb adducts of 3- and 4-aminobiphenyl	Blood	3- and 4- Aminobiphenyl	PP	
Nicotine and nicotine metabolites**	24-hr urine	Nicotine	PP	
NNAL and NNAL-glucuronide	24-hr urine	NNK	PP	

*GVP: gas-vapor phase; PP: particulate phase

**cotinine, 3-hydroxycotinine, nicotine-*N*-glucuronide, cotinine-*N*-glucuronide, and *trans*-3'-hydroxycotinine-*O*-glucuronide,

- 9 ☐ NICOTINE METABOLISM
- 10 ☐ THE QUEST FOR AUTHENTIC REFERENCE STANDARDS
- 11 ☐ SUCCESSFUL SYNTHESIS OF *trans*-3'-HYDROXYCOTININE-*O*-GLUCURONIDE
- 12 ☐ REGIO-SPECIFIC AND STEREO-SPECIFIC SYNTHESIS OF *trans*-3'-HYDROXYCOTININE-*O*-GLUCURONIDE
- 13 ☐ NNK METABOLISM
- 14 ☐ Additional Biomarkers for JLI
 - Cotinine in blood
 - 3-HPMA (3-hydroxypropylmercapturic acid, an acrolein metabolite)
 - Urine mutagenicity (measured by the Ames Salmonella microsome assay)
- 15 ☐ ANALYTICAL METHODS
 - CO-Oximeter: Carboxyhemoglobin
 - LC/MS/MS
 - Nicotine and 5 metabolites
 - NNK metabolites
 - 3-HPMA
 - Urinary mutagens: Ames assay
- 16 ☐ ANALYTICAL METHODS
 - Nicotine and 5 metabolites
 - Free nicotine, cotinine, and *trans*-3'-hydroxycotinine
 - Acidify 2mL aliquot of a 24-hour urine
 - SPE clean-up
 - LC/MS/MS: Phenomenex 3 μ m Phenyl-Hexyl column at 50°C; mobile phase NH₄OAc/Methanol/Et₃N (65:35:0.002 v/v/v) at 0.2 mL/min; Sciex 3000 tandem mass spectrometer, multiple ion recording mode.
- 17 ☐ ANALYTICAL METHODS
 - Nicotine and 5 metabolites
 - Free nicotine, cotinine, and *trans*-3'-hydroxycotinine and glucuronides of each (total nicotine, total cotinine, and total *trans*-3'-hydroxycotinine)
 - Treat a second acidified 2mL aliquot of the 24-hour urine collection with a β -glucuronidase (*Helix pomatia*) for 18-22 hr
 - Proceed as for free alkaloids/metabolites

Covance

- Glucuronides determined by difference

Covance

18 □ ANALYTICAL METHODS

■ Nicotine and 5 metabolites

- Free nicotine, cotinine, *trans*-3'-hydroxycotinine, and nicotine-*N*-glucuronide
- Basify 1mL aliquot of a 24-hour urine
- SPE clean-up
- LC/MS/MS: MetaChem Inertsil 5 μ m Silica column; mobile phase acetonitrile/water/trifluoroacetic acid (90:10:0.05 v/v/v); Sciex 3000 tandem mass spectrometer, multiple ion recording mode

MDS

19 □ ANALYTICAL METHODS

■ Nicotine and 5 metabolites

- Total cotinine, and total *trans*-3'-hydroxycotinine)
- Treat a second 0.2mL aliquot of the 24-hour urine collection with a β -glucuronidase (*Helix pomatia*) for at least 20 hr
- Basify and proceed as for free alkaloids/metabolites
- Glucuronides determined by difference

MDS

20 □ ANALYTICAL METHODS

■ NNK metabolites

- Free NNAL
- Acidify 1.0 mL aliquot of 24-h urine
- Clean-up with mixed-mode SPE
- LC/MS/MS
 - Keystone Hypersil silica column with a guard column; mobile phase ACN/H₂O/HCOOH (50:50:1 (v:v:v)); Sciex 3000 MS/MS; multiple ion recording mode

MDS

21 □ ANALYTICAL METHODS

■ NNK metabolites

- Total NNAL (free NNAL and NNAL glucuronide)
- Acidify 1.0 mL aliquot of 24-h urine, and incubate with β -glucuronidase (type H-1 from *Helix pomatia*)
- Proceed as for free NNAL
- Glucuronides determined by difference

MDS

22 □ ANALYTICAL METHODS

■ 3-HPMA

- 0.200 mL sample of acidified urine
- Clean-up with solid phase mixed mode extraction column
- LC/MS/MS
 - Hypersil BioBasic column with a guard column; mobile phase is 80:20 acetonitrile:50 mM ammonium

acetate, pH 4.5; Sciex API 4000 MS/MS; multiple ion recording mode

23 ANALYTICAL METHODS

- Urine Mutagenicity by the Ames Assay
 - Preincubation method with metabolic activation (5% S9)
 - Urine samples concentrated by 250-fold on XAD-2 resin
 - Diluted with dimethyl sulfoxide (DMSO) to yield different dose levels
 - Incubated at 37°C with S9 and YG1024 (tester strain with a high sensitivity for detecting mutagenicity of aromatic amine compounds in human urine)

24 

25 VALIDATION

- "Validation and development of biomarkers will provide a stronger foundation by which to make scientific evaluations and regulatory decisions regarding PREPs."

(Clearing the Smoke: Assessing the Science Base for Tobacco Harm Reduction, Advance Copy, Executive Summary, p. 9, 2001.)

- "All of the procedures that demonstrate that a particular method used for quantitative measurement of analytes. . . is reliable and reproducible for the intended use."

(FDA Guidance for Industry: Bioanalytical Method Validation, May 2000)

26 Two Types of Validation

- Analytical validation
 - More commonly discussed
 - More extensively defined
- Biological validation
 - Less guidance available
 - Opportunity for leadership in scientific community

27 Components of Analytical Validation

- Measures of system suitability and ruggedness
- Statement of data collection and processing instrumentation to be utilized
- Outline of necessary calculations
- Standard curve
- Accuracy
- Precision

28 Components of Analytical Validation

- Sensitivity/Specificity/selectivity
- Stability
- Pre-defined acceptance criteria
- Assessment of carryover and recovery
- Appropriate reporting

29 FDA GUIDANCE ON VALIDATION

- Precision: <15%; <20% for LLOQ
- Accuracy: ±15%; ±20% for LLOQ

- Standard curve: 75%, or a minimum of six standards, when back-calculated (including ULOQ) should fall within $\pm 15\%$, except for LLOQ, when it should be $\pm 20\%$ of the nominal value
- Short term stability: includes at least 3 freeze-thaw cycles; tests samples and reagents
- Reproducible recovery

30 ☐ ANALYTICAL VALIDATION

- Carboxyhemoglobin

31 ☐ ANALYTICAL VALIDATION

- Nicotine, Cotinine, and *trans*-3'-Hydroxycotinine

32 ☐

33 ☐ ANALYTICAL VALIDATION

34 ☐

35 ☐ ANALYTICAL VALIDATION

36 ☐ ANALYTICAL VALIDATION

37 ☐ ANALYTICAL VALIDATION

38 ☐ WHO RECOMMENDATIONS FOR BIOLOGICAL VALIDATION

- Determination of specificity
- Exposure-related toxicokinetics and toxicodynamics
- Dose-response relationship
- Biological variation associated with the marker
 - Intra-individual
 - Inter-individuals
 - Group differences

39 ☐ WHO RECOMMENDATIONS FOR BIOLOGICAL VALIDATION

- Route of exposure
- Type of health effect
- Behavioral factors influencing exposure
- Generation of baseline or normative data

(Human Exposure Assessment, Environmental Health Criteria 214, World Health Organization: Geneva, 2000.)

40 ☐ BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS

- Determination of specificity: ***There was a statistically significant difference between carboxyhemoglobin levels in smokers and non-smokers, and the same was observed for nicotine equivalents levels.***
- Dose-response relationship: ***Estimating dose as cigarettes/day***

- 41 ☐ CARBOXYHEMOGLOBIN DOSE RESPONSE
- 42 ☐ BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS
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- 45 ☐ BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS
- Toxicokinetics: **Considered half-life information as a biomarker selection criterion**
- 46 ☐ HALF-LIFE DATA FOR TES BIOMARKERS
- 47 ☐ BIOLOGICAL VALIDATION: TES EXPOSURE BIOMARKERS
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